

RECEIVED  
CENTRAL FAX CENTER

SEP 13 2007

**Gates & Cooper** *LLP*Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045**FAX TRANSMISSION TO USPTO**TO: Commissioner for Patents  
Attn: Examiner Andrew J. Rudy  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450FROM: George H. Gates  
OUR REF.: 9011  
TELEPHONE: (310) 642-4146

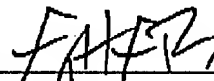
Total pages, including cover letter: 4

PTO FAX NUMBER: 571-273-8300

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	TRANSMITTAL DOCUMENTS (2) AND NOTICE OF APPEAL
Applicant:	George Robert Hood
Serial No.:	09/608,682
Filed:	June 29, 2000
Group Art Unit:	3627
Title:	RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM
Our Ref. No.:	9011

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation, the assignee of the present application.

By:   
Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature13 SEP 2007  
Date

Due Date: September 19, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood  
Serial No.: 09/608,682  
Filed: June 29, 2000  
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A  
RELATIONAL DATABASE MANAGEMENT SYSTEM

Examiner: Andrew J. Rudy  
Group Art Unit: 3627  
Docket: 9011

RECEIVED  
CENTRAL FAX CENTER

SEP 13 2007

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on September 13, 2007.

By: FAH  
Name: George H. Gates

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Notice of Appeal.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

Customer Number 26890  
GATES & COOPER LLP  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: FAH  
Name: George H. Gates  
Reg. No.: 33,500  
GHG/kmk

Due Date: September 19, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood  
Serial No.: 09/608,682  
Filed: June 29, 2000  
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A  
RELATIONAL DATABASE MANAGEMENT SYSTEM

Examiner: Andrew J. Rudy  
Group Art Unit: 3627  
Docket: 9011

RECEIVED  
CENTRAL FAX CENTER  
SEP 13 2007

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on September 13, 2007.

By: [Signature]  
Name: George H. Gates

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Notice of Appeal.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

**Customer Number 26890**  
**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: [Signature]  
Name: George H. Gates  
Reg. No.: 33,500  
GHG/kmk

Due Date: September 19, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	George R. Hood	Examiner:	Andrew J. Rudy
Serial No.:	09/608,682	Group Art Unit:	3627
Filed:	June 29, 2000	Docket:	9011
Title:	RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on September 13, 2007.

By: [Signature]  
Name: George H. Gates

NOTICE OF APPEAL FROM THE EXAMINER  
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §41.37, Appellants hereby request reinstatement of the Appeal with the submission of this Notice of Appeal from the rejection in the above-identified application, as set forth in the Office Action dated June 19, 2007.

Because this is a reinstatement, no further fee is due at this time. Nonetheless, please charge any additional fees or credit any overpayments to Deposit Account No. 14-0225 of NCR Corporation.

Respectfully submitted,

GATES & COOPER LLP  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: September 13, 2007

GHG/

By: [Signature]  
George H. Gates  
Reg. No.: 33,500